Prevalence of sleep-disordered breathing related to malocclusion in children

Prevalência de distúrbios respiratórios do sono relacionados a maloclusões em crianças

Prevalencia de trastornos del sueño respiratorio relacionados con maloclusiones en niños

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Abstract
Sleep-disordered breathing is characterized by airway dysfunction that can occur in any age, but most prevalent in children, caused by the occurrence of respiratory effort, snoring or even by apnea during sleep. Therefore, the aim of this study was to survey the prevalence of sleep disorders associated with malocclusion in children aged 3 to 12 years in Mineiros, State of Goiás, Brazil. Material and Methods: this is a field research with a sample of 99 children affected by some type of sleep-disordered breathing and malocclusions. Data were collected through a questionnaire about sleep-disordered breathing and a clinical record carried out through intraoral clinical examination. Results: among the 24 children with SDB, 17 had SDB and Malocclusion, which is 70.8% of the children had SDB associated with malocclusion. Of the 75 children without SDB, 11 (14.7%) had malocclusion. Conclusion: No significant differences were found between sleep-disordered breathing and sex-related malocclusions.

Keywords: Malocclusion; Orthodontics; Oral health; Bruxism; Apnea.

Resumo
Os distúrbios respiratórios do sono são caracterizados por disfunção das vias aéreas, que pode ocorrer em qualquer idade, mas mais prevalente em crianças, causada pela ocorrência de esforço respiratório, ronco ou mesmo por apneia durante o sono. Portanto, o objetivo deste estudo foi realizar o levantamento da prevalência dos Distúrbios Respiratórios do Sono (DRS) associados a maloclusão em crianças de 3 a 12 anos do município de Mineiros, Estado de Goiás, Brasil. Material e Métodos: trata-se de uma pesquisa de campo com uma amostra de 99 crianças acometidas por algum tipo de distúrbio respiratório do sono e maloclusões. Os dados foram coletados por meio da realização de um questionário sobre os distúrbios respiratórios do sono e de uma ficha clínica realizada por meio do exame clínico intrabucal. Resultados: dentre as 24 crianças com DRS, 17 apresentaram DRS e Maloclusão, ou seja 70,8% das crianças que tinham DRS tinham má oclusão associada. Já as 75 crianças com ausência de DRS, 11 (14,7%)
apresentavam maloclusão. Conclusão: não foram encontradas diferenças significativas entre distúrbios respiratórios do sono e maloclusões relacionados ao sexo.

**Palavras-chave:** Má oclusão; Ortodontia; Saúde bucal; Bruxismo; Apnéia.

**Resumen**

Los trastornos respiratorios del sueño se caracterizan por una disfunción de las vías respiratorias, que puede ocurrir a cualquier edad, pero es más prevalente en los niños, causada por la aparición de esfuerzo respiratorio, ronquidos o incluso por apnea durante el sueño. Por lo tanto, el objetivo de este estudio fue sondear la prevalencia de trastornos del sueño asociados a maloclusión en niños de 3 a 12 años en Mineiros, Estado de Goiás, Brasil. Material y métodos: se trata de una investigación de campo con una muestra de 99 niños afectados por algún tipo de trastornos respiratorios del sueño y malocclusions. Los datos se recogieron mediante un cuestionario sobre trastornos respiratorios del sueño y una historia clínica realizada mediante examen clínico intraoral. Resultados: de los 24 niños con TRS, 17 tenían TRS y Maloclusión, lo que representa un 70,8% de los niños con TRS asociado a maloclusión. De los 75 niños sin TRS, 11 (14,7%) tenían maloclusión. Conclusión: No se encontraron diferencias significativas entre los trastornos respiratorios del sueño y las maloclusions relacionadas con el sexo.

**Palabras clave:** Maloclusión; Ortodoncia; Salud bucal; Bruxismo; Apnea.

### 1. Introduction

Sleep-disordered breathing (SDB) is common in children, with a higher incidence in preschool children, with no gender predominance. It is a syndrome characterized by airway dysfunction, caused by the occurrence of respiratory effort during sleep, followed by primary snoring or even by obstructive sleep apnea. Malocclusions, on the other hand, are deviations from normality that affect the dental arch, facial bones or both and result in aesthetic, dental and functional problems (Andrade et al., 2020 e Carvalho et al., 2014).

SDB has a multifactorial etiology; however, the main risk factors for the occurrence of SDB include tonsillar hypertrophy, dentofacial anomalies and malocclusions, which can trigger mouth breathing. Persistent mouth breathing affects the functioning of the stomatognathic system. (Rodrigues et al., 2020) Which can cause vertical facial enlargement, facial asymmetry, muscle hypotonia, altered resting posture of the lips and tongue, atypical swallowing, retrognathism, maxillary atresia and dental malocclusions. (Motonaga et al., 2000; Motta et al., 2009) That is the importance of being aware of SDB and malocclusions, in which the child has developed. Thus, the most viable and indicated is to undergo treatment during mixed dentition.

The prevalence of malocclusions varie, ranging from 12% to 97.7%. This variation is due to numerous factors, from socioeconomic conditions to environmental factors. (Lopes et al., 2019; Carneiro et al., 2021; Pereira et al., 2017) Among the SDBs, the prevalence of apnea in children varies from 0.7% to 3% between 2 and 6 years of age and primary snoring with a prevalence between 7% and 9% of children aged 1 to 10 years. (Silva et al., 2021; de Machado Gomes et al., 2012)

For accurate diagnosis, one of the complementary exams is polysomnography, monitoring physiological variables during sleep with audio and video recording. Some of these factors will be checked, for example: brain and muscle electrical activity, eye movement, airflow in breathing, respiratory effort and blood oxygen levels. (Barbosa et al., 2021; da Silva Rocha et al., 2020).

The treatment is carried out by a multidisciplinary intervention. Relating to Dentistry, the treatment for SDB is performed using intraoral appliances, namely: tongue retainers, soft palate elevators and jaw re-positioners and, for the treatment of malocclusions, it is necessary to analyze the type of alteration present in the child for that a more adequate treatment plan can be drawn up. (Thomé et al., 2020; Aroucha Lyra et al., 2020; Vazquez et al., 2020) Therefore, treatment carried out during childhood by a multidisciplinary team has satisfactory results and a better prognosis than when performed in adulthood. (Carvalho et al., 2014).

It is very important that the dental surgeon be aware of these disorders, identifying the types of malocclusions that
may be related. However, despite the clinical routine, the diagnosis remains a challenge. Therefore, this study aimed to verify the prevalence of SDB and malocclusion in children aged 3 to 12 years, as well as advise if necessary, the most appropriate treatment for each case.

2. Methodology

This is a cross-sectional research, with a descriptive and quantitative approach (Rebouças et al., 2017; Viana et al. 2015). Data was collected, through a questionnaire about sleep-disordered breathing, and the analysis of clinical record data collected after an intraoral and extra oral examination from January to July of 2021, carried out at Faculty FAMP, Mineiros - Goiás, Brazil, toda metodologia foi utilizada apartir de estudos com escopo semelhantes (Carneiro et al., 2021; Barbosa et al., 2021; Saretto et al., 2019; Monarca et al., 2018).

Ninety-nine children aged from 3 to 12 years were evaluated, analyzing the presence of any type of SDB and malocclusion. Parents/guardians answered the questionnaire where the SDB was identified through snoring, breathing effort, apnea, bruxism, among other related problems. Soon after, the children underwent an intraoral clinical examination in which the examiners filled out the form about the types of malocclusions present, such as open-bite, deep-bite, crossbite, and crowding. Arches and Angle classes were also evaluated. Thereafter, all participants received verbal and printed instructions. Two trained examiners, using the dental chair, under artificial lighting, performed clinical examinations.

The research consisted of a non-probabilistic sample with parents/guardians of all selected children. The questionnaire was applied in an interview format to everyone who agreed to participate, signing the Informed Consent Form. The questionnaire was applied according to Alencar et al. (2020), who reported in their review, that most authors use questionnaires for the diagnosis of bruxism, due to its consolidated and applied form for diagnosis, it is also easy to handle and access to data, but additional to this was performed clinical examinations on patients in this study.

The results were stored and tabulated in Microsoft Excel ® 2016 program. The data was analyzed by checking the frequencies and percentages. The chi-square test was performed. The results were expressed in the form of tables. P-value < 0.05 was considered statistically significant.

2.1. Ethics approval

The study was approved by the Ethics and Research Committee on Human Beings of the University Center of Santa Fé do Sul - UNIFUNEC (CAAE: 31584020.9.0000.5428).

3. Results

Descriptive statistical analysis of data from 99 children analyzed was performed, shown in Table 1, in absolute data, mean, standard deviation for quantitative variables. Significant variables at 95% were analyzed to verify whether there was a difference in the presentation of disorders between the sexes and/or association of SDB and malocclusion, a Chi-square or Fisher's exact test was performed, when necessary. For age, a Data Normality Test was performed. The program used to perform the analyzes was an Excel spreadsheet, version 2016.
Table 1 - population distribution according to age (years) and sex.

<table>
<thead>
<tr>
<th>Age (mean±SD)</th>
<th>Sex</th>
<th>Frequency (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female</td>
<td>8.50 ± 2.3</td>
<td>52</td>
</tr>
<tr>
<td>Male</td>
<td>9.10 ± 2.5</td>
<td>47</td>
</tr>
</tbody>
</table>

Source: Authors.

Table 1 shows the results of the age distribution of the population studied. 53% were female with a mean age of 8.5 years, and 48% male with a mean age of 9.1 years.

Regarding Table 2, the results of the population distribution regarding the presence or absence of SDB are displayed. Among the 99 children analyzed, 24 had SDB (prevalence of 24%). SDB was more frequent in female children 27% (n=14) and among male children it was 21% (n=10). Although the prevalence in this population was high (24%), no statistically significant difference was found in the results between genders and DRS (p=0.62).

Table 2. Distribution of children studied according to the presence or absence of sleep-related disorders (SDB) and prevalence of SDB in relation to gender

<table>
<thead>
<tr>
<th>Sleep-disordered breathing</th>
<th>Presence</th>
<th>Absence</th>
<th>SDB according to sex</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>52</td>
<td>14</td>
<td>38</td>
<td>27%</td>
</tr>
<tr>
<td>Male</td>
<td>47</td>
<td>10</td>
<td>37</td>
<td>21%</td>
</tr>
<tr>
<td>Total (%)</td>
<td>99</td>
<td>24 (24%)</td>
<td>75 (76%)</td>
<td></td>
</tr>
</tbody>
</table>

SDB, Sleep-disordered breathing. Source: Authors.

Table 3 presents the results of presence or absence of malocclusions in the studied population. Among the 99 children analyzed, 28 had malocclusions, and there was no statistically significant difference in the results between genders and malocclusion (p=0.67). Malocclusion was more frequent among female children, 31% (n=16), and 26% (n=12) of male children had some type of malocclusion.

Table 3. Distribution of children studied according to the presence or absence of malocclusion and prevalence of malocclusion in relation to gender.

| Malocclusion | | | |
|--------------|---------------|---------|----------------------|---|
| Sex          | Presence      | Absence | Malocclusion according to sex | P |
| Female       | 52            | 16      | 36                   | 31% | 0.67 |
| Male         | 47            | 12      | 35                   | 26% |
| Total        | 99            | 28(28%) | 71 (72%)             |    |

Source: Authors.

According to the data obtained in Table 4, of the 24 children with SDB and, correlating with the presence of malocclusion between the sexes, it was found that 70.8% (n=17 children) who had SDB also presented malocclusion. 92.9% of the children were female and 40% male.
Analyzing Table 5, of the 75 children without SDB and verifying the presence of malocclusion between the sexes, it was found that 14.7% (n=11) of the children did not have SDB but presented malocclusion, with 18.4 % of children were female and 10.8% male, with no statistical difference between the absence of SDB and malocclusion according to gender.

<table>
<thead>
<tr>
<th>Sex</th>
<th>Total with SDB</th>
<th>Malocclusion presence</th>
<th>Absence of malocclusion</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female</td>
<td>14</td>
<td>13 (92.9%)</td>
<td>1</td>
<td>0.27</td>
</tr>
<tr>
<td>Male</td>
<td>10</td>
<td>4 (40.0%)</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>24</td>
<td>17 (70.8%)</td>
<td>7</td>
<td></td>
</tr>
</tbody>
</table>

SDB, Sleep-disordered breathing. Source: Authors.

Assessing the correlation between the presence of SDB and malocclusion, it was evidenced that there is a correlation between the presence of SDB and the presence of malocclusion, and it is important to note that 70.8% of children with SDB also had malocclusion (Table 4) and that 14.7 % of children who did not have SDB had malocclusion.

<table>
<thead>
<tr>
<th>Sex</th>
<th>Total without SDB</th>
<th>Malocclusion presence</th>
<th>Absence of malocclusion</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Female</td>
<td>38</td>
<td>7 (18.4%)</td>
<td>31</td>
<td>0.64</td>
</tr>
<tr>
<td>Male</td>
<td>37</td>
<td>4 (10.8%)</td>
<td>33</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>75</td>
<td>11 (14.7%)</td>
<td>64</td>
<td></td>
</tr>
</tbody>
</table>

SDB, Sleep-disordered breathing. Source: Authors.

4. Discussion

Of the 99 children analyzed in this study, 24 (24%) had SDB (Table 2) and 28 (28%) had malocclusion (Table 3), which are high prevalence for a population. Of these, 24 children with SDB (Table 4), 17 children had SDB and malocclusion, that is, 70.8% of the children who had SDB had associated malocclusion. Of the 75 children without SDB (Table 5), 11 (14.7%) had malocclusion. The results demonstrate the great importance of knowing and diagnosing SDB due to its high prevalence.

The children participating in this study were aged between 03 and 12 years, there was a predominance of females 53% (n=52) compared to males with 47% (n=47). Although in the present study, the population of female children had a higher prevalence of SDB and malocclusion, there was no statistically significant difference between genders.

In the evaluation of SDB, among the 99 children, 24 (24%) of them had a sleep disorder, identified through questionnaires. As well as Vázquez-Casas et al. (2020), in their study, they pointed out a prevalence of 22.8% of the sample had SDB. Rodrigues et al. (2020) reported a prevalence of 22.0%. The prevalence was similar to the investigation carried out in Gomes, et al. (2012) indicated a prevalence of 12% in the population of children aged 1 to 10 years. Di Carlo et al. (2020) reported a prevalence of 9.7%.
However, Saretto et al. (2019) pointed out in their study that SDB was manifested in 41.5% of the evaluated population. Silva et al. (2017) evaluated 64 patients and 61% had disorders. Motta et al. (2014) presented the index of 62.5% of the population. Barbosa et al. (2021) indicated in their work a prevalence of 83% of the patients in the studied group. Fernandes et al. (2020), in their studies carried out in Pará, found a prevalence of 26.2% of SDB.

Among the 99 children evaluated in this study, aged between 03 and 12 years, 28 (28%) of them had malocclusion identified through clinical examination performed in each patient. The prevalence was similar to the investigation carried out by Pereira et al. (2017) showed a prevalence of 33.3% of children had malocclusions. Martins et al. (2019) found 31.3% of malocclusion. Leôncio et al. (2015) showed a prevalence of 38.2% of malocclusion in the evaluated children. Alves et al. (2020) found a prevalence of 42.5% of malocclusions. Carneiro et al. (2021) reported a prevalence of 73% of malocclusion in the studied population. Rodrigues et al. (2020) reported a prevalence of 84.8% of malocclusion. Bittencourt and Machado (2010) found a prevalence of malocclusion of 85.7% of children. For both Pimenta et al. (2018) and Vázquez-Casas (2020) the prevalence of malocclusion was 83.9%. Werneck et al. (2011) showed a prevalence higher than 90% of the studied population. Fernandes et al. (2020) reported 100% prevalence of malocclusion.

As in our study, other authors also corroborate the correlation between SDB and Malocclusion. Di Carlo et al. (2020), Rodrigues et al. (2020), and Ghafoournia et al. (2012) showed a correlation between SDB and malocclusion. Saretto et al. (2019) and Motta et al. (2014) pointed out in their study that SDB was statistically associated with the presence of morphological and functional changes in the face. Carneiro et al. (2021) showed that there is an association between sleep-disordered breathing and malocclusions. However, Rodrigues et al. (2020) did not show a statistically significant correlation between SDB and malocclusion, diverging from what was observed in the present study. Barbosa et al. (2021) reported a low relationship between patients with sleep disorders and bruxism, in a polysomnographic study with 101 adult patients.

Rodrigues et al. (2020) observed a higher prevalence of SDB in children with malocclusion. Gomes et al. (2012) warned that SDB can influence the growth and development of craniofacial structures and, therefore, dental occlusion and in their study they indicated that the most prevalent age was 9 to 11 years old. However, the proportion that caused more seriousness was in children in early childhood, who are at the beginning of their school phase and who are not accustomed to their new routine, since respiratory disorders are pathological conditions that affect the respiratory system and its correct functioning.

5. Conclusion

Based on the methods of this study, it is concluded that no significant differences were found between sleep-disordered breathing and sex-related malocclusions, and that there was a strong and positive correlation between SDB and malocclusion. Children with SDB and malocclusions present in this study were referred for multidisciplinary treatment offered at the institution. However, more research related to the topic is needed to identify the prevalence of malocclusion and SDB in other populations, then verifying whether there is relation to the environment, age, population phenotype or socioeconomic conditions. As well as, etiologic predictors of malocclusions and SDB, and their prevalence. This study contributes to scientific knowledge, highlighting the importance of early diagnosis and treatment for all patients.

References


